

# Holographic fluids and superfluids.

Literature: New J. Phys. 14 (2012) 115009  
 hep-th/0201253 ("traditional" one)  
 my PhD thesis. (T. KALAYDZHIAN)

We will focus on the "weak" version of the correspondence:

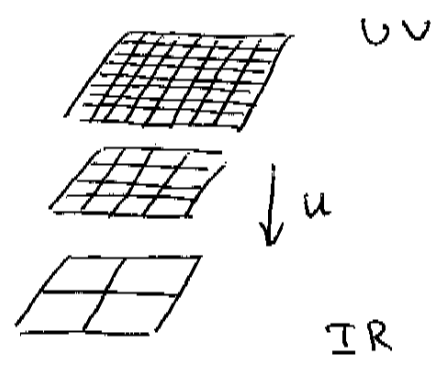
Classical gravity in  $(D+1)$   $\leftrightarrow$  Strongly coupled CFT on  $D$ -dim boundary.

## Intuitive arguments:

Consider a field theory on a lattice with a Hamiltonian

$$H = \sum_{x,i} J_i(x) \Theta^i(x)$$

$\nearrow$  operator  
 $\nwarrow$  source (i.e. coupling)



On a coarse-grained lattice

$2a, 4a, \dots$  one can average the multiple sites and tune sources  $\{J_i\}$  preserving the ground state and the physics of low-energy excitations, i.e.

$$RG: \quad u \frac{\partial}{\partial u} J_i(x, u) = \beta_i(J_i(x, u), u), \quad u = a, 2a, 4a \dots$$

$\nwarrow$  beta-function.

If we make a stack of lattices, then  $J_i$  become fields with an additional RG-coordinate  $u$  and UV-asymptotics:  $J_i(x, a) = J_i(x)$ . What kind of theory

this can be?

there should be  $\mathcal{O} = T_{\mu\nu}$ , then  $J = g^{\mu\nu}$ . Also the physics on the layer  $u' > u$  is defined by layer  $u$  only (because of R.b.-flow). Therefore we deal with something like a gravitational holography, i.e. we can restore information in some region by the information on the boundary of that region.

So, we have a hint of gravity being the theory on the stack of lattices. (there are, of course, more elaborated tests!)

Now, if we take a continuum limit and consider a conformal theory on the boundary of resulting space, then we can deduce the metric of this space.

the most general metric consistent with D-dim Poincaré transformations:

$$ds^2 = \Omega^2(z) (-dx_0^2 + dx_i^2 + dz^2), \quad i = \overline{1, D-1}.$$

$\Omega = \Omega(z)$ , not  $(x, z)$  because of translational sym. in  $x^M$ .

Conformal invariance gives us the symmetry  $x^M \rightarrow \Lambda x^M$ .

$z$  also transforms  $z \rightarrow \Lambda z$ , because it's a scale.

Therefore,  $\Omega \rightarrow \Lambda^{-1} \Omega$  with  $z \rightarrow \Lambda z$ ,

i.e.  $\Omega = \frac{\text{const}}{z}$ . Finally,

$$ds^2 = \frac{R^2}{z^2} (-dx_0^2 + dx_i^2 + dz^2), \quad i = \overline{1, D-1}.$$

we see, that  $\text{CFT}_D$  is "dual" to  $\text{AdS}_{D+1}$  with curvature radius  $R$ , yet unfixed (explain here, what is  $\text{AdS}$ ); it will depend later on the degrees of freedom in  $\text{CFT}$ .

Formal definition and example (the most elabor. one)

$\mathcal{N}=4$   $SU(N)$  SYM  $\leftrightarrow$  Type II B St. Th. on  $\text{AdS}_5 \times S^5$   
(I) (II)

(I): 1 vector, 4 fermions, 6 scalars (adjoint)

$$S_{\mathcal{N}=4} = -\frac{1}{2g_{\text{YM}}^2} \int d^4x \text{Tr} \left( \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} (D_\mu \Phi^i)^2 + [\Phi^i, \Phi^j]^2 \right) + \text{fermions}.$$

Here  $\beta=0$  and at  $N \rightarrow \infty$  the perturbative expansion is controlled by the 't Hooft coupling  $\lambda = g_{\text{YM}}^2 N$ .

(II): Type II B String theory with coupling  $g_s$  on  $\text{AdS}_5 \times S^5$   
with  $R_{\text{AdS}_5} = R_{S^5} = R$ .

Correspondence between parameters:

$$g_{\text{YM}}^2 = 4\pi g_s, \quad g_{\text{YM}}^2 N = \frac{R^4}{l_s^4}, \quad N = \int_{S^5} F_5^+$$

(RR 4-form flux, from  $D_3$  br.)

The "weak" form of the duality in this case corresponds to the limit:  $\lambda \rightarrow \infty$ ,  $N \rightarrow \infty$ ,  $g_s \rightarrow 0$ , and we have SUGRA in the bulk.

$$\left\langle e^{-i \sum_i \int d^4x J_i(x) \Theta^i(x)} \right\rangle_{\text{CFT}} = \text{EXP} \left\{ -i S_{\text{min}}[\text{AdS}_5 \times S^5] \right\}$$

$$\left( J_i(x, z) \Big|_{z=0} = J_i(x) \right)$$

$J_i(x, z)$  are classical solutions of Type IIB SUGRA.

Main idea: Bulk fields are the couplings promoted to dynamical fields on the  $R_6$ -extended spacetime. The partition function of QFT is equal to the exponent of classical GR action defined on that fields.

Next step: Suppose we have to study a real QFT (with less symmetry or additional properties), then we should modify CFT and, hence, deform the gravity dual. There are two ways: top-down approach (starting from existing string/SUGRA backgrounds, when the FT dual is known), bottom-up (don't care about being precise about string

constructions, start from necessary properties of FT and find a gravity dual.)

15

The main tool we use to link the quantities from the both sides is the holographic renormalization: the boundary counterterms cancel the UV-divergencies of the bulk theory.

Example: consider an asymptotically  $AdS_{D+1}$  space with cosmological constant  $\Lambda = -\frac{D(D-1)}{2}$  in Fefferman-Graham coordinates:

$$ds^2 = g_{MN}(x,z) dx^M dx^N = \frac{g_{\mu\nu}(x,z) dx^\mu dx^\nu + dz^2}{z^2},$$

where  $M, N = 0 \dots D+1$ ,  $\mu, \nu = 0 \dots D$ .

Near-boundary expansion:

$$g(x,z) = g^{(0)}(x) + g^{(2)}(x) z^2 + \dots + g^{(D)}(x) z^D + h^{(D)} z^D \log z^2 + O(z^{D+1}).$$

For a 4D CFT:

$$g_{\mu\nu}(x,z) = \eta_{\mu\nu} + 4\pi G_N \langle T_{\mu\nu}(x) \rangle z^4 + \dots$$

for arbitrary dimensions,  $g^{(D)}_{\mu\nu} \sim \langle T_{\mu\nu} \rangle$ ,

The structure  $\Phi = \text{"source"} + \text{"VEV"} z^\# + \dots$

is general for the bound. expansion of the bulk fields.

From this point we start adding items to the "holographic dictionary", see page A.

Black holes:

for the thermal theories we consider an AdS-BH.

$$ds^2 = \frac{1}{z^2} \left( -f(z) dt^2 + d\vec{x}^2 + \frac{1}{f(z)} dz^2 \right),$$

where  $f(z) = +1 - \left(\frac{z}{z_H}\right)^D$ .

Thermodynamic parameters are given by

$$T = - \frac{f'(z_H)}{4\pi} = \frac{D}{4\pi z_H}, \quad \epsilon = \frac{D-1}{16\pi G_N z_H^D}, \quad S = \frac{1}{4 G_N z_H^{D-1}}$$

Charged BH

$$S_{EM} = \frac{1}{16\pi G_N} \int d^{D+1}x \sqrt{-g} \left( R - 2\Lambda - \frac{1}{4} F^{MN} F_{MN} \right).$$

$ds^2$  is the same, but

$$f(z) = 1 - \left( 1 + \frac{z_H^2 M^2}{\tilde{z}^2} \right) \left( \frac{z}{z_H} \right)^D + \left( \frac{z_H^2 M^2}{\tilde{z}^2} \right) \left( \frac{z}{z_H} \right)^{2(D-1)},$$

$$A = A_t(z) dt, \quad A_t(z) = \mu \left( 1 - \left( \frac{z}{z_H} \right)^{D-2} \right).$$

$\tilde{z}^2 \equiv \frac{2(D-1)}{D-2}$  and ch. dens.  $\rho = \frac{D-1}{\tilde{z}^2 8\pi G_N} \cdot \frac{\mu}{z_H^D}$

$\uparrow$  chem. potential.  $\rightarrow$

# Fluid-gravity

we can change variables in the BH metric:

$$z \rightarrow \frac{\tilde{z}}{\sqrt{1 + \frac{\tilde{z}^4}{z_H^4}}}, \quad z_H \rightarrow \tilde{z}_H / \sqrt{2}$$

so it becomes

$$ds^2 = - \frac{(1 - \frac{\tilde{z}^4}{z_H^4})^2}{(1 + \frac{\tilde{z}^4}{z_H^4}) \tilde{z}^2} dt^2 + \left(1 + \frac{\tilde{z}^4}{z_H^4}\right) \frac{d\vec{x}^2}{\tilde{z}^2} + \frac{d\tilde{z}^2}{\tilde{z}^2}$$

this form is suitable for the hol. renorm. and gives us

$$\begin{aligned} \langle T_{\mu\nu} \rangle &= \frac{1}{4\pi G_N} g_{\mu\nu}^{(4)} = \frac{1}{16\pi G_N} \text{diag} \left( \frac{3}{z_H^4}, \frac{1}{z_H^4}, \frac{1}{z_H^4}, \frac{1}{z_H^4} \right) = \\ &= \text{diag} \left( \epsilon, \frac{\epsilon}{3}, \frac{\epsilon}{3}, \frac{\epsilon}{3} \right). \quad (\text{see eqs. above}) \end{aligned}$$

this is a conformal fluid at rest ( $\epsilon = 3P$ ).

if we boost the BH solution along  $U_\mu$ , then

$$T_{\mu\nu} = (\epsilon + P) U_\mu U_\nu + P g_{\mu\nu}$$

One can systematically correct it by including higher-order (in  $\partial$ ) terms (see other talks!)

the main algorithm:

- 1) Fluid on the boundary, gravity in the bulk.  
 Input = zero-order parameters: energy density, anomalies, background fields, e.t.c.
- 2) Fix the metric components (and gauge field components), Chern-Simons parameters, e.t.c. in the bulk.
- 3) Solve equations of motion for the bulk fields (Einstein-Maxwell eqns., for instance).
- 4) Read off a nontrivial result from the near-boundary expansion of the bulk fields (e.g. transport coefficients).

Example:

$$\left\{ \begin{array}{l} \partial_m T^{m\nu} = F^{\nu\lambda} j_\lambda \\ \partial_m j^m = 0 \\ \partial_m j_S^m = C E^\nu B_\nu \\ U_m U^m = -1. \end{array} \right. \quad \left| \quad \left\{ \begin{array}{l} j^m = \rho U^m + \kappa_\omega \omega^m + \kappa_B B^m + \dots \\ j_S^m = \zeta_S U^m + \zeta_\omega \omega^m + \zeta_B B^m + \dots \\ B^m \equiv \epsilon^{\mu\nu\alpha\beta} U_\nu F_{\alpha\beta} \quad \text{vorticity.} \\ \omega^m \equiv \frac{1}{2} \epsilon^{\mu\nu\alpha\beta} U_\nu \partial_\alpha U_\beta \end{array} \right.$$

Gr. dual: AdS-BH with two U(1) charges + CS term.  
 Result:

$$\boxed{\text{CVE}} \quad \kappa_\omega = 2C\mu\mu_5 \left(1 - \frac{\mu\rho}{\epsilon + \rho}\right), \quad \kappa_B = C\mu_5 \left(1 - \frac{\mu\rho}{\epsilon + \rho}\right) \quad \boxed{\text{CME}}$$

$$\boxed{\text{AVE}} \quad \zeta_\omega = C\mu^2 \left(1 - 2 \frac{\mu_5 \rho_5}{\epsilon + \rho}\right), \quad \zeta_B = C\mu \left(1 - \frac{\mu_5 \rho_5}{\epsilon + \rho}\right) \quad \boxed{\text{CSE}}$$



# Superfluid / superconductor

First, we describe the field theory in hydro language:

Suppose, we have a scalar  $\Phi$  (complex scalar) with a Mexican hat potential, then, when we break the global  $U(1)$  spontaneously,

$$\langle \Phi \rangle = \frac{f}{\sqrt{2}} e^{i\varphi} \quad \text{Goldstone mode}$$

In the absence of external fields:

$$\begin{cases} \partial_\mu T^{\mu\nu} = 0 \\ \partial_\mu j^\mu = 0 \\ U^\mu \partial_\mu \varphi + \mu = 0 \end{cases} \quad \begin{array}{l} \mathcal{L}_\varphi \sim \frac{f^2}{2} (\partial_\mu \varphi)^2 \sim \rho_S \mu_S + \dots \\ \downarrow \\ \dot{\varphi} \sim \mu + \text{boost along } u^\mu \\ \downarrow \\ \text{"Josephson equation"} \end{array}$$

these eqns. can be solved in the derivative expansion:

$$\begin{cases} T^{\mu\nu} = (\epsilon + p) U^\mu U^\nu + p \eta^{\mu\nu} + f^2 \partial^\mu \varphi \partial^\nu \varphi + \dots \\ j^\mu = \underbrace{n U^\mu}_{\text{normal component}} + \underbrace{f^2 \partial^\mu \varphi}_{\text{curl-free superfluid component}} + \dots \end{cases}$$

note:  $\epsilon$  is defined by  $\epsilon + p = Ts + \mu n$ ,

$$\text{also } dp = s dT + n d\mu - f^2 d\left(\frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi\right).$$

and  $n_S \equiv f^2 \mu$  - superfluid charge density, also the superfluid component doesn't contribute to entropy (a field).

Holographic case (Gubser, Hartnoll, Sou, Herzog)

- 1)  $J^m$  on the boundary  $\leftrightarrow A^m$  in the bulk
- 2)  $\langle O \rangle$  on the bound.  $\leftrightarrow$  non-trivial profile of a bulk scalar  $\Phi$   
(order parameter of condensation)  $\leftrightarrow$  charged under  $U(1)$
- 3) Spont. breaking of global  $U(1)$   $\leftrightarrow$  spont. breaking of the bulk gauge  $U(1)$   
 $\rightarrow$  Higgs mech.

$$S_{\text{bulk}} \propto \int d^{d+1}x \sqrt{-g} \left( R + \frac{d(d-1)}{L^2} - \frac{1}{e^2} \left[ \frac{1}{4} F^2 + |D\Phi|^2 + m^2 |\Phi|^2 \right] \right)$$

we can take a probe limit. EOM: (BH background).

$$z^2 f(z) A_t'' - \underbrace{2 |\Phi|^2}_{\text{mass of the gauge field (Higgs mech.)}} A_t = 0$$

$\underbrace{\hspace{10em}}_{\text{charge density (r.h.s. of the Maxwell's eqn.)}}$

$$z^2 f(z) \tilde{\Phi}'' + (z f'(z) - 2f(z)) z \tilde{\Phi}' - \underbrace{\left( m^2 - \frac{z^2 A_t^2}{f(z)} \right)}_{m_{\text{eff}}^2 (!)} \tilde{\Phi} = 0$$

Breitenlohner-Freedman bound:  $m^2 = -D^2/4L^2$ .

At large charge density or close to the BH horizon the effective mass of the scalar can become tachyonic, which is a hint of the condensation.

Near-boundary asymptotics: (take the Ansatz  $A = A_t(z)$ ,  $\tilde{\Phi} = \tilde{\Phi}(z)$ ).

$$A_t \sim \mu + \int z^{D-2} + \dots, \quad (\text{USUALLY, AdS}_4.)$$

$$\Phi \sim \phi_{D-\Delta} r^{D-\Delta} + \phi_D r^\Delta + \dots \quad (\text{see page A.})$$

here  $m^2 L^2 = \Delta(\Delta - D)$ , convenient choice:  $D=3, \Delta=2$ .

$\Delta$  is the scaling dimension of the operator  $\mathcal{O}$ .

For a spontaneous condensation:  $\phi_{D-\Delta} = 0$   
 $\phi_D = \langle \mathcal{O} \rangle.$

One can study  $\langle \mathcal{O} \rangle$  as a function of temperature and restore the phase diagram. One can also study transport coefficients and critical exponents...

## Scalar field in AdS

$$S_{\Phi} \propto \int d^{d+1}x \sqrt{-g} \left( -\frac{1}{2} (\partial \Phi)^2 - \frac{m^2}{2} \Phi^2 \right)$$

$\bar{\Phi}(x, z) = \Phi(z) e^{ikx}$ , the wave eqn. for the scalar:

$$z^2 f(z) \Phi''(z) - z [z f'(z) - (d-1) f(z)] \Phi'(z) - [k^2 z^2 + m^2 L^2] \Phi(z) = 0$$

in the background of a charged BH.

Additional Ansatz:  $A = A_t(z) dt$ .

Near the boundary ( $z \rightarrow 0$ ):

$$\bar{\Phi} \sim \phi_{d-\Delta}(k) z^{d-\Delta} + \phi_{\Delta}(k) z^{\Delta} + \dots$$

$\Delta(\Delta-d) = m^2 L^2$ ,  $\Delta$  is the scaling dim. of the dual op.  $\mathcal{O}$ .

Note: 1)  $\phi_{d-\Delta}(k)$  is non-normalizable and requires a counter-term on the boundary.

$$2) \phi_{\Delta} = \frac{\Gamma(\frac{d}{2} - \Delta)}{2^{\Delta-d/2} \Gamma(\Delta - \frac{d}{2})} (\omega^2 + k^2)^{\Delta - \frac{d}{2}} \phi_{d-\Delta}$$

$$\text{Source: } J(k) = \phi_{d-\Delta}(k) = \lim_{z \rightarrow 0} z^{\Delta-d} \bar{\Phi}(k, z)$$

$$\text{VEV: } \langle \mathcal{O}(k) \rangle = \frac{2^{\Delta-d}}{L} \phi_{\Delta}(k), \text{ where}$$

$\mathcal{O}(k)$  corresponds to  $J(k)$  and  $\Delta = \dim[\mathcal{O}]$ , so near the boundary

$$\bar{\Phi} \sim \underset{\substack{\uparrow \\ \text{source}}}{J(k)} z^{d-\Delta} + \# \underset{\substack{\uparrow \\ \text{VEV}}}{\langle \mathcal{O} \rangle} z^{\Delta} + \dots$$

# Holographic dictionary

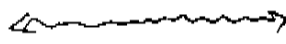
LB

## BOUNDARY

## BULK

( $\sim \text{AdS}_5$ )

$T_{\mu\nu}$



$g_{\mu\nu}^{(4)}$

$T, \epsilon, s, P$



$z_H$

$S$



$A_H$

$M$



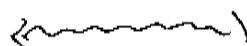
$A_0(z=0) - A_0(z_H)$

$J_\mu$



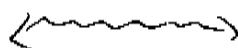
$A_\mu^{(2)}$

$C^{abc}$



$S_{CS}^{abc}$

Mag. field



Mag. field

free energy



on-shell bulk action

Global symmetry



Gauge symmetry

$\mathcal{O}(x)$



$\Phi(x, z)$

$\Delta_{\mathcal{O}}$



$m_\Phi$

Strength of interactions,  $\lambda$



Curvature radius in String units,  $\left(\frac{D}{l_s}\right)^4$ .